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11 INTERTRUST TECHNOLOGIES CORPORATION

12  
13  
14 UNITED STATES DISTRICT COURT  
15 NORTHERN DISTRICT OF CALIFORNIA  
16

17 INTERTRUST TECHNOLOGIES  
CORPORATION,  
18 a Delaware corporation,

19 Plaintiff,

20 v.

21 MICROSOFT CORPORATION, a  
Washington corporation,

22 Defendant.  
23

Case No. C 01 1640 JL

**SECOND AMENDED COMPLAINT FOR  
INFRINGEMENT OF U.S. PATENT NOS.  
6,185,683 B1 AND 6,253,193 B1; 5,920,861;  
5,940, 504**

**DEMAND FOR JURY TRIAL**

24  
25 Plaintiff INTERTRUST TECHNOLOGIES CORPORATION (hereafter "InterTrust")  
26 hereby complains of Defendant MICROSOFT CORPORATION (hereafter "Microsoft"), and  
27 alleges as follows:  
28

### JURISDICTION AND VENUE

1  
2 1. This action for patent infringement arises under the patent laws of the United States,  
3 Title 35, United States Code, more particularly 35 U.S.C. §§ 271 and 281.

4 2. This Court has subject matter jurisdiction under 28 U.S.C. §§ 1331 and 1338(a).

5 3. Venue is proper in this judicial district under 28 U.S.C. §§ 1391(c) and 1400(b).

### THE PARTIES

6  
7 4. Plaintiff InterTrust is a Delaware corporation with its principal place of business  
8 at 4750 Patrick Henry Drive, Santa Clara, California.

9 5. InterTrust is informed and believes, and on that basis alleges, that Defendant  
10 Microsoft is a Washington Corporation with its principal place of business at One Microsoft  
11 Way, Redmond, Washington.

12 6. InterTrust is informed and believes, and on that basis alleges, that Defendant  
13 Microsoft does business in this judicial district and has committed and is continuing to commit  
14 acts of infringement in this judicial district.

15 7. InterTrust is the owner of United States Patent No. 6,185,683 B1, entitled  
16 "Trusted and secure techniques, systems and methods for item delivery and execution" ("the  
17 '683 patent"), duly and lawfully issued on February 6, 2001. A copy of the '683 patent is  
18 attached hereto as Exhibit A.

19 8. InterTrust is the owner of United States Patent No. 6,253,193 B1, entitled  
20 "Systems and methods for secure transaction management and electronic rights protection" ("the  
21 '193 patent"), duly and lawfully issued on June 26, 2001. A copy of the '193 patent is attached  
22 hereto as Exhibit B.

23 9. InterTrust is the owner of United States Patent No. 5,940,504, entitled "Licensing  
24 management system and method in which datagrams including an addressee of a licensee and  
25 indicative of use of a licensed product are sent from the licensee's site" ("the '504 patent"), duly  
26 and lawfully issued on August 17, 1999. A copy of the '504 patent is attached hereto as Exhibit  
27 C.

28 10. InterTrust is the owner of United States Patent No. 5,920,861, entitled

1 "Techniques for defining, using and manipulating rights management data structures" ("the '861  
2 patent"), duly and lawfully issued on July 6, 1999. A copy of the '861 patent is attached hereto  
3 as Exhibit D.

4 **FIRST CLAIM FOR RELIEF**

5 11. InterTrust hereby incorporates by reference paragraphs 1-7 as if restated herein.  
6 12. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.  
7 13. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
8 been and is infringing the '683 patent under § 271(a) by making and using systems incorporating  
9 Windows Media Player Versions 7 and 8. In addition, on information and belief, InterTrust  
10 alleges that Microsoft is making and using other systems and/or is in the process of developing  
11 other systems, which infringe the '683 patent under § 271(a). InterTrust is further informed and  
12 believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under  
13 §271(a) will continue unless enjoined by this Court.

14 14. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
15 been and is knowingly and intentionally inducing others to infringe directly the '683 patent under  
16 § 271(a), thereby inducing infringement of the '683 patent under § 271(b). InterTrust is further  
17 informed and believes that Microsoft's inducement has at least included the manner in which  
18 Microsoft has promoted and marketed use of Windows Media Player Versions 7 and 8.  
19 InterTrust is further informed and believes, and on that basis alleges, that Microsoft's  
20 infringement of the '683 patent under §271(b) will continue unless enjoined by this Court.

21 15. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
22 been and is contributorily infringing the '683 patent under § 271(c) by providing digital rights  
23 management software and related functions especially made or especially adapted for infringing  
24 use and not staple articles or commodities of commerce suitable for substantial noninfringing  
25 use, including at least Windows Media Player Versions 7 and 8. InterTrust is further informed  
26 and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under  
27 §271(c) will continue unless enjoined by this Court.

28 16. InterTrust is informed and believes, and on that basis alleges, that Microsoft is

1 willfully infringing the '683 patent in the manner described above in paragraphs 13 through 15,  
2 and will continue to do so unless enjoined by this Court.

3 17. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
4 derived and received, and will continue to derive and receive from the aforesaid acts of  
5 infringement gains, profits, and advantages, tangible and intangible, the extent of which are not  
6 presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has  
7 been, and will continue to be, irreparably harmed.

8 **SECOND CLAIM FOR RELIEF**

9 18. InterTrust hereby incorporates by reference paragraphs 1-6 and 8 as if restated  
10 herein.

11 19. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.

12 20. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
13 been and is infringing the '193 patent under § 271(a) by using Windows Media Player Versions  
14 7 and 8. In addition, on information and belief, InterTrust alleges that Microsoft is making and  
15 using other systems and/or is in the process of developing other systems, which infringe the '193  
16 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that  
17 Microsoft's infringement of the '193 patent under §271(a) will continue unless enjoined by this  
18 Court.

19 21. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
20 been and is knowingly and intentionally inducing others to infringe directly the '193 patent under  
21 § 271(a), thereby inducing infringement of the '683 patent under § 271(b). InterTrust is further  
22 informed and believes that Microsoft's inducement has at least included the manner in which  
23 Microsoft has promoted and marketed use of Windows Media Player Versions 7 and 8.  
24 InterTrust is further informed and believes, and on that basis alleges, that Microsoft's  
25 infringement of the '193 patent under §271(b) will continue unless enjoined by this Court.

26 22. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
27 been and is contributorily infringing the '193 patent under § 271(c) by providing digital rights  
28 management software and related functions especially made or especially adapted for infringing

1 use and not staple articles or commodities of commerce suitable for substantial noninfringing  
2 use, including at least Windows Media Player Versions 7 and 8. InterTrust is further informed  
3 and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under  
4 §271(c) will continue unless enjoined by this Court.

5 23. InterTrust is informed and believes, and on that basis alleges, that Microsoft is  
6 willfully infringing the '193 patent in the manner described above in paragraphs 20 through 22,  
7 and will continue to do so unless enjoined by this Court.

8 24. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
9 derived and received, and will continue to derive and receive from the aforesaid acts of  
10 infringement gains, profits, and advantages, tangible and intangible, the extent of which are not  
11 presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has  
12 been, and will continue to be, irreparably harmed.

### 13 THIRD CLAIM FOR RELIEF

14 25. InterTrust hereby incorporates by reference paragraphs 1-6 and 9 as if restated  
15 herein.

16 26. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.

17 27. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
18 been and is infringing the '504 patent under § 271(a) by Microsoft's use of the Product  
19 Activation feature of Microsoft XP and other Microsoft products. In addition, on information and  
20 belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the  
21 process of developing other systems, which infringe the '504 patent under § 271(a). InterTrust is  
22 further informed and believes, and on that basis alleges, that Microsoft's infringement of the  
23 '504 patent under §271(a) will continue unless enjoined by this Court.

24 28. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
25 been and is knowingly and intentionally inducing others to infringe directly the '504 patent under  
26 § 271(a), thereby inducing infringement of the '504 patent under § 271(b). InterTrust is further  
27 informed and believes that Microsoft's inducement has at least included the manner in which  
28 Microsoft has promoted and marketed use of the Product Activation feature of Windows XP and

1 other Microsoft products. InterTrust is further informed and believes, and on that basis alleges,  
2 that Microsoft's infringement of the '504 patent under §271(b) will continue unless enjoined by  
3 this Court.

4 29. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
5 been and is contributorily infringing the '504 patent under § 271(c) by providing digital rights  
6 management software and related functions especially made or especially adapted for infringing  
7 use and not staple articles or commodities of commerce suitable for substantial noninfringing  
8 use, including the Product Activation feature of Windows XP and other Microsoft products.  
9 InterTrust is further informed and believes, and on that basis alleges, that Microsoft's  
10 infringement of the '504 patent under §271(c) will continue unless enjoined by this Court.

11 30. InterTrust is informed and believes, and on that basis alleges, that Microsoft is  
12 willfully infringing the '504 patent in the manner described above in paragraphs 27 through 29,  
13 and will continue to do so unless enjoined by this Court.

14 31. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
15 derived and received, and will continue to derive and receive from the aforesaid acts of  
16 infringement gains, profits, and advantages, tangible and intangible, the extent of which are not  
17 presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has  
18 been, and will continue to be, irreparably harmed.

19 **FOURTH CLAIM FOR RELIEF**

20 32. InterTrust hereby incorporates by reference paragraphs 1-6 and 10 as if restated  
21 herein.

22 33. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.

23 34. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
24 been and is infringing the '861 patent under § 271(a) by making, using, selling, and offering for  
25 sale digital rights management software incorporating inventions claimed in the '861 patent,  
26 including but not limited to the Digital Asset Server and Microsoft Reader. In addition, on  
27 information and belief, InterTrust alleges that Microsoft is making and using other systems  
28 and/or is in the process of developing other systems, which infringe the '861 patent under §

1 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's  
2 infringement of the '861 patent under §271(a) will continue unless enjoined by this Court.

3 35. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
4 been and is knowingly and intentionally inducing others to infringe directly the '861 patent under  
5 § 271(a), thereby inducing infringement of the '861 patent under § 271(b). InterTrust is further  
6 informed and believes that Microsoft's inducement has at least included the manner in which  
7 Microsoft has promoted and marketed use of Digital Asset Server and Microsoft Reader.  
8 InterTrust is further informed and believes, and on that basis alleges, that Microsoft's  
9 infringement of the '861 patent under §271(b) will continue unless enjoined by this Court.

10 36. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
11 been and is contributorily infringing the '861 patent under § 271(c) by providing digital rights  
12 management software and related functions especially made or especially adapted for infringing  
13 use and not staple articles or commodities of commerce suitable for substantial noninfringing  
14 use, including but not limited to the Digital Asset Server and Microsoft Reader. InterTrust is  
15 further informed and believes, and on that basis alleges, that Microsoft's infringement of the  
16 '861 patent under §271(c) will continue unless enjoined by this Court.

17 37. InterTrust is informed and believes, and on that basis alleges, that Microsoft is  
18 willfully infringing the '861 patent in the manner described above in paragraphs 32 through 34,  
19 and will continue to do so unless enjoined by this Court.

20 38. InterTrust is informed and believes, and on that basis alleges, that Microsoft has  
21 derived and received, and will continue to derive and receive from the aforesaid acts of  
22 infringement gains, profits, and advantages, tangible and intangible, the extent of which are not  
23 presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has  
24 been, and will continue to be, irreparably harmed.

25 **PRAYER FOR RELIEF**

26 WHEREFORE, InterTrust prays for relief as follows:

27 A. That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. §  
28 271(a);

- 1 B. That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. §  
2 271(b) by inducing others to infringe directly the '683 patent under 35 U.S.C. § 271(a);
- 3 C. That Microsoft be adjudged to have contributorily infringed the '683 patent under  
4 35 U.S.C. § 271(c);
- 5 D. That Microsoft be adjudged to have willfully infringed the '683 patent under 35  
6 U.S.C. §§ 271(a), (b), and (c);
- 7 E. That Microsoft, its officers, agents, servants, employees and attorneys, and those  
8 persons in active concert or participation with them be preliminarily and permanently restrained  
9 and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '683 patent;
- 10 F. That Microsoft be adjudged to have infringed the '193 patent under 35 U.S.C. §  
11 271(a);
- 12 G. That Microsoft be adjudged to have infringed the '193 patent under 35 U.S.C. §  
13 271(b) by inducing others to infringe directly the '193 patent under 35 U.S.C. § 271(a);
- 14 H. That Microsoft be adjudged to have contributorily infringed the '193 patent under  
15 35 U.S.C. § 271(c);
- 16 I. That Microsoft be adjudged to have willfully infringed the '193 patent under 35  
17 U.S.C. §§ 271(a), (b), and (c);
- 18 J. That Microsoft, its officers, agents, servants, employees and attorneys, and those  
19 persons in active concert or participation with them be preliminarily and permanently restrained  
20 and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '193 patent;
- 21 K. That Microsoft be adjudged to have infringed the '504 patent under 35 U.S.C. §  
22 271(a);
- 23 L. That Microsoft be adjudged to have infringed the '504 patent under 35 U.S.C. §  
24 271(b) by inducing others to infringe directly the '504 patent under 35 U.S.C. § 271(a);
- 25 M. That Microsoft be adjudged to have contributorily infringed the '504 patent under  
26 35 U.S.C. § 271(c);
- 27 N. That Microsoft be adjudged to have willfully infringed the '504 patent under 35  
28 U.S.C. §§ 271(a), (b), and (c);



1 O. That Microsoft, its officers, agents, servants, employees and attorneys, and those  
2 persons in active concert or participation with them be preliminarily and permanently restrained  
3 and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '504 patent;

4 P. That this Court award damages to compensate InterTrust for Microsoft's  
5 infringement, as well as enhanced damages, pursuant to 35 U.S.C. § 284;

6 Q. That this Court adjudge this case to be exceptional and award reasonable  
7 attorney's fees to InterTrust pursuant to 35 U.S.C. § 285;

8 R. That Microsoft be adjudged to have infringed the '861 patent under 35 U.S.C. §  
9 271(a);

10 S. That Microsoft be adjudged to have infringed the '861 patent under 35 U.S.C. §  
11 271(b) by inducing others to infringe directly the '861 patent under 35 U.S.C. § 271(a);

12 T. That Microsoft be adjudged to have contributorily infringed the '861 patent under  
13 35 U.S.C. § 271(c);

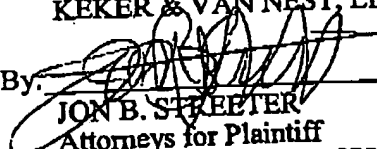
14 U. That Microsoft be adjudged to have willfully infringed the '861 patent under 35  
15 U.S.C. §§ 271(a), (b), and (c);

16 V. That Microsoft, its officers, agents, servants, employees and attorneys, and those  
17 persons in active concert or participation with them be preliminarily and permanently restrained  
18 and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '861 patent;

19 W. That this Court assess pre-judgment and post-judgment interest and costs against  
20 Microsoft, and award such interest and costs to InterTrust, pursuant to 35 U.S.C. § 284; and

21 X. That InterTrust have such other and further relief as the Court may deem proper.

22 Dated: July 25, 2001

23 By:   
24 JON B. STEETER  
25 Attorneys for Plaintiff  
26 INTERTRUST TECHNOLOGIES  
27 CORPORATION  
28

**DEMAND FOR JURY TRIAL**

Plaintiff InterTrust hereby demands a trial by jury as to all issues triable by jury, specifically including, but not limited to, the issue of infringement of United States Patent Nos. 6,185,683 B1; 6,253,193 B1; 5,940,504; and 5,920,861.

Dated: July 25, 2001

KEKER & VAN NEST, LLP

By: 

JON B. STREETER  
Attorneys for Plaintiff  
INTERTRUST TECHNOLOGIES  
CORPORATION

## PROOF OF SERVICE

I am employed in the City and County of San Francisco, State of California in the office of a member of the bar of this court at whose direction the following service was made. I am over the age of eighteen years and not a party to the within action. My business address is Keker & Van Nest, LLP, 710 Sansome Street, San Francisco, California 94111.

On July 26, 2001, I served the following document(s):

**SECOND AMENDED COMPLAINT FOR INFRINGEMENT OF U.S. PATENT NOS.  
6,185,683 B1 AND 6,253,193 B1; 5,920,861; 5,940, 504**

- ☒ by COURIER, by placing a true and correct copy in a sealed envelope addressed as shown below, and dispatching a messenger from FIRST LEGAL with instructions to hand-carry the above and make delivery to the following during normal business hours, by leaving a true copy thereof with the person whose name is shown or the person authorized to accept courier deliveries on behalf of the addressee.

Eric L. Wesenberg, Esq.  
Mark R. Weinstein, Esq.  
Orrick, Herrington & Sutcliffe LLP  
1000 Marsh Road  
Menlo Park, CA 94015

- ☒ by FEDERAL EXPRESS, by placing a true and correct copy in a sealed envelope addressed as shown below. I am readily familiar with the practice of Keker & Van Nest, LLP for correspondence for delivery by FedEx Corporation. According to that practice, items are retrieved daily by a FedEx Corporation employee for overnight delivery.

John D. Vandenberg, Esq.  
James E. Geringer, Esq.  
Steven R. Alexander, Esq.  
Klarquist Sparkman Campbell Leigh & Whinston  
One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on July 26, 2001, at San Francisco, California.

  
MARIA LI MANGIAPANE